

Table of Contents – Volume One – Federal Laws

Introduction.....	iii
Chapter One – General Federal Civil Laws	
1.1 The False Claims Act (31 U.S.C. § 3729 <i>et seq.</i>)	2
1.2 The False Claims Act (Prior to 2009 FERA Amendments)	16
1.3 The Program Fraud Civil Remedies Act (31 U.S.C. § 3801 <i>et seq.</i>).....	28
1.4 The Anti-Kickback Act (41 U.S.C. § 8701 <i>et seq.</i>)	40
1.5 Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) (12 U.S.C. § 1833a, Ch. 43)	43
1.6 The Truth In Negotiations Act (10 U.S.C. § 2306a; 41 U.S.C. Ch. 35).....	55
1.7 The Contract Disputes Act (41 U.S.C. § 7101 <i>et seq.</i>).....	73
1.8 The Special Plea in Fraud (28 U.S.C. § 2514).....	82
1.9 The Federal Debt Collection Procedures Act (28 U.S.C. § 3001 <i>et seq.</i>).....	83
1.10 Statutes of Limitations Applicable to Claims by the United States	110
1.11 Civil Monetary Penalties Inflation Adjustment (28 C.F.R. § 85.1 <i>et seq.</i>).....	112
1.12 United States Attorney's Manual – Civil	115
1.13 Settlement and Closure of Civil Cases by DOJ (28 C.F.R. Part 0, Subpart Y).....	132
1.14 Evaluation of Relator's Share (Department of Justice Memorandum).....	144
1.15 Coordination of Parallel Criminal, Civil, Regulatory, and Administrative Proceedings (Department of Justice Memorandum)	146
Chapter Two – Federal Criminal Laws	
2.1 Criminal False Claims (18 U.S.C. § 287)	150
2.2 False Statements (18 U.S.C. § 1001)	151
2.3 Major Fraud Against the United States (18 U.S.C. § 1031)	152
2.4 Mail and Wire Fraud (18 U.S.C. §§ 1341, 1343, 1346, 1349)	154
2.5 Embezzlement of Public Money, Property or Records (18 U.S.C. § 641)	155
2.6 Criminal Forfeiture (18 U.S.C. § 982)	156
2.7 Conspiracy (18 U.S.C. § 371)	158
2.8 The Foreign Corrupt Practices Act (15 U.S.C. § 78dd-1 <i>et seq.</i>).	159
2.9 Sentencing Statutes (18 U.S.C. §§ 3571, 3572).....	171
2.10 United States Sentencing Guidelines Excerpts (2014)	174
2.11 Principles of Federal Prosecution (United States Attorney's Manual)	224
2.12 Principles of Federal Prosecution of Business Organizations (United States Attorney's Manual)	253
2.13 United States Attorney's Manual Excerpts – Criminal	266
2.14 Criminal Resource Manual Excerpts – General	277
Chapter Three – Health Care Fraud Laws	
3.1 Medicare and Medicaid Fraud (42 U.S.C. § 1320a-7b)	296
3.2 Theft or Embezzlement in Connection with Health Care (18 U.S.C. § 669).....	300
3.3 False Statements Relating to Health Care Matters (18 U.S.C. § 1035).....	301
3.4 Health Care Fraud (18 U.S.C. § 1347)	302
3.5 The Antikickback Statute Exceptions (42 C.F.R. § 1001.952).....	303
3.6 The Stark Act (42 U.S.C. § 1395nn).....	331

3.7	Stark Act Regulations (42 C.F.R § 411.350, <i>et seq.</i>)	346
3.8	HIPAA Subpoenas (18 U.S.C. § 3486)	394
3.9	Exclusion of Providers from Medicare and Medicaid (42 U.S.C. § 1320a-7)	397
3.10	Medicare/Medicaid Civil Monetary Penalties (42 U.S.C. § 1320a-7a)	406
3.11	Medicare and Medicaid Program Integrity (42 U.S.C. § 1320a-7k)	414
3.12	Medicare/Medicaid Civil Monetary Penalties (42 U.S.C. § 1320a-7a; pre-Affordable Care Act)	417
3.13	The Food, Drug, and Cosmetic Act (21 U.S.C. § 331 <i>et seq.</i>)	425
3.14	Medicaid Drug Reimbursement (42 U.S.C. § 1395u(o); 42 C.F.R. § 405.517)	437
3.15	CMS Voluntary Self-Referral Disclosure Protocol	440
3.16	Criminal Resource Manual Excerpts – Health Care Fraud.....	445

Chapter Four – Internal Revenue Service Whistleblower/Informant Program

4.1	Internal Revenue Code – Whistleblower Statute (26 U.S.C. § 7623(a))	464
4.2	Internal Revenue Manual (Pt. 25, Chap. 2, Sec. 2) – Whistleblower Awards.....	466

Chapter Five – Securities and Commodities Whistleblower Laws

5.1	SEC – Securities Whistleblower Incentives and Protection (15 U.S.C. § 78u-6).....	502
5.2	SEC – Final Rules for Whistleblowers (17 C.F.R. § 240.21F-1 <i>et seq.</i>)	509
5.3	CFTC – Commodity Whistleblower Incentives and Protection (7 U.S.C. § 26)	524
5.4	CFTC – Whistleblower Rules (17 C.F.R. § 165.1 <i>et seq.</i>)	532

Chapter Six – Federal Agency Resources

6.1	Directory: Department of Justice	548
6.2	Directory: U.S. Attorney’s Offices	549
6.3	Directory: Offices of Inspectors General For Federal Agencies	558

Volume Two – False Claims Act History

Volume Three – State and Local Laws